



Fee Schedule

Stocks & ETFs	
US Listed Securities (including ETFs)	Commission-free trading refers to \$0 commissions for Hapi self-directed individual cash brokerage accounts that trade U.S. listed securities (including ETFs) via the app or website.
American Depositary Receipts (ADRs)	Some ADRs subject to custody fees. Fee information for a specific ADR may be obtained from that ADR's custodial bank. Trading of ADRs might have a \$0.06 minimum per share fee or \$0.25 per trade
Regulatory Trading Fees	
Regulatory	\$5.10 per \$1,000,000 of principal (sells only), rounded up to the nearest penny.
TAF	\$0.06 per share (equity sells) and \$0.002 per contract (options sells). This fee is rounded up to the nearest penny and no greater than \$5.95.
Clearing house fee – Cost associated to execution	\$0.10 per whole shares or \$0.15 per fractional share.

Transfers	
Deposits to Hapi	*3
Withdraws, international:	*4
Withdraws wire, domestic (within the US)	\$4.99
Returned Check, ACH, Wire & Recall/Stop Payments ¹	\$0
ACATS, Outgoing ²	\$75
ACATS, Incoming	\$0
Services	
Electronic Statements & Confirms	\$0
Paper Statements	\$5
Paper Confirms	\$2
Paper Form CRS	\$0
Miscellaneous	
Inactivity charge ⁵	\$1.99
Voluntary Corporate Action/Election	\$0
Prime subscription	\$9.99

¹ Additional wire transfer reversal fees charged by any originating, intermediary, or recipient bank may apply.

² Most customer accounts are transferred between broker-dealers through an automated process. The National Securities Clearing Corporation (NSCC) operates the Automated Customer Account Transfer Service (ACATS) to facilitate the transfer of a customer account from one broker-dealer to another.

³ Additional wire transfer fees may be charged by any originating, intermediary, Hapi nor Hapi's bank or custodian charges any amount for wires. Any charges applied by Hapi will be explicitly shown in the App or Website at the moment of the deposit.

⁴ For Colombia and Chile, USD 4.99, minimum of \$25 withdrawal. For Argentina, Brazil, Ecuador, Peru and Uruguay, \$4.99. Mexico and any other \$10 with a \$25 minimum.

⁵ Inactive charge is monthly to accounts that are non-prime members without activity (entering the app or website over last 60 days).

