



Last Updated August 14, 2025

Hapi Securities, LLC
ACAT Promotional Offer Disclosures

ACAT transfers made by new and existing members, between August 15 and October 31, are eligible for this promotion. Cash and securities received via ACAT are eligible for this promotion. Hapi Securities, LLC supports US equities (stocks and ETFs). Any other assets transferred will count towards the bonus amount but will be liquidated for cash. Assets that cannot be transferred via ACAT to Hapi include: fractional shares, crypto assets, alternative investments, options, OTC-traded securities, mutual funds, and bonds.

Incentive amount depends on assets transferred. Limit one offer per customer per 12 months. Before requesting the transfer, you should review and consider all fees charged by the delivering brokerage firm and tax consequences that may result from potential liquidations required before the delivering brokerage completes the transfer. Fees charged by your current brokerage for transfers or account closures are your responsibility unless otherwise stated. Offer cannot be combined with other promotions unless approved by Hapi Securities, LLC. Incentives may be cash credits, fee waivers, or other items, and will be paid after qualifying assets are verified and maintained for 90 days. Cash credits may be taxable; customers are responsible for any taxes.

This is not a recommendation or solicitation to buy or sell securities. All investing involves risk, including loss of principal. Securities products: Not FDIC Insured – No Bank Guarantee – May Lose Value.

Hapi Securities, LLC is a member of FINRA and [SIPC](#). Check the background of this firm on FINRA's [BrokerCheck](#). Brokerage services are provided by Hapi Securities, LLC, and custody services are provided by Apex Clearing Corporation, a member of FINRA and [SIPC](#).